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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		<b>Application Number</b>	<b>09/484,331</b>
		<b>Filing Date</b>	<b>January 18, 2001</b>
		<b>First Named Inventor</b>	<b>Harrington, John J.</b>
		<b>Group Art Unit</b>	<b>1632</b>
		<b>Examiner Name</b>	<b>Shukla, R.</b>
Total Number of Pages in This Submission	<b>6</b>	Attorney Docket Number	<b>0221-0003L</b>

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Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Anne Brown - Reg. No. 36,463
Signature	<i>Anne Brown</i>
Date	February 8, 2001

**CERTIFICATE OF MAILING**

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*Ent'd 1/6  
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Application of:  
Harrington, *et al.*

Application No.: 09/484,331

Filed: January 18, 2001

For: **COMPOSITIONS AND METHODS  
FOR NON-TARGETED ACTIVATION  
OF ENDOGENOUS GENES**

Group Art Unit: 1632

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Examiner: Shukla, R.

Attorney Docket No.: 0221-0003L

FEB 17 2001

Commissioner of Patents  
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**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of documents AM4, AN4, AS25 and AT25 are submitted herewith. The cite numbers are based on the last cite numbers in the Information Disclosure Statement.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available

to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

It is believed there is no fee required in the filing of this document. The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. **50-0622**.

Respectfully submitted,

SHANKS & HERBERT

*Anne Brown*  
Anne Brown  
Reg. No. 36,463

Date: February 8, 2001

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